

**PATENT**Attorney's Docket No. BIOG-20121 USAApplicant or Patentee: Jon DONSON et al.Serial or Patent No.: 0 7 / 923,692Filed or Issued: July 31, 1992For: RECOMBINANT PLANT VIRAL NUCLEIC ACIDS**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27(d))—NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION THE REGENTS OF THE UNIVERSITY OF CALIFORNIAADDRESS OF ORGANIZATION University of California, Office of Technology Transfer, 300 Lakeside Drive, 22nd Floor, Oakland, CA 94612**TYPE OF ORGANIZATION**

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501 (a) and 501 (c)(3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501 (a) and 501 (c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled
RECOMBINANT PLANT VIRAL NUCLEIC ACIDS

by inventor(s) Jon Donson, William O. Dawson, George L. Grantham, Ann Myers-Turpen, Thomas H. Turpen, Stephen J. Garger, Laurence K. Grill
described in

- ☐ the specification filed herewith.
- ☒ application serial no. 0 7 / 923,692, filed July 31, 1992.
- ☐ patent no. _____, issued _____.

#24

Atty. Docket No. BIOG-20121 USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
JON DONSON, et al.) Group Art Unit:
Serial No. 07/923,692)
Filed: July 31, 1992) POWER OF ATTORNEY
For: RECOMBINANT PLANT) BY ASSIGNEE
VIRAL NUCLEIC ACIDS)

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

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THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, assignee of the above-identified application by assignment dated 10/26, 1992, hereby appoints the members of the firm of LIMBACH & LIMBACH, a firm composed of Karl A. Limbach, P.C. (Reg. No. 18,689); George C. Limbach, P.C. (Reg. No. 19,305); John K. Uilkema, P.C. (Reg. No. 20,282); J. William Wigert, Jr., P.C. (Reg. No. 24,582); Philip M. Shew, Jr., P.C. (Reg. No. 25,376); Neil A. Smith, P.C. (Reg. No. 25,441); Carrie L. Walthour (Reg. No. 27,755); Veronice C. Devitt (Reg. No. 29,375); Ronald L. Yin (Reg. No. 27,607); Albart P. Halluin (Reg. No. 25,227); Gerald T. Sekimure (Reg. No. 30,103); Michael A. Stallman (Reg. No. 29,444); Philip A. Girerd (Reg. No. 28,848); Michael J. Pollock (Reg. No. 29,098); Michael E. Dargosits (Reg. No. 31,243); Stephen M. Everett (Reg. No. 30,050); Alfred A. Equitz (Reg. No. 30,922); W. Petrick Bengtsson (Reg. No. 32,456); Richard A. Nebb (Reg. No. 33,540); Mark A. Dalla Vella (Reg. No. 34,147); Ian Hardcastle (Reg. No. 34,075); Todd A. Noah (Reg. No. 35,626); Richard E. Wawrzyniak (Reg. No. P36,048); Alan D. Minsk (Reg. No. P35,956); Mark C. Pickering (Reg. No. P36,239); Douglas C. Limbach (Reg. No. 35,249) and J. Thomas McCarthy (Reg. No. 22,420), 2001 Farry Building, San Francisco, CA 94111, (415) 433-4150, FAX (415) 433-8716, as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

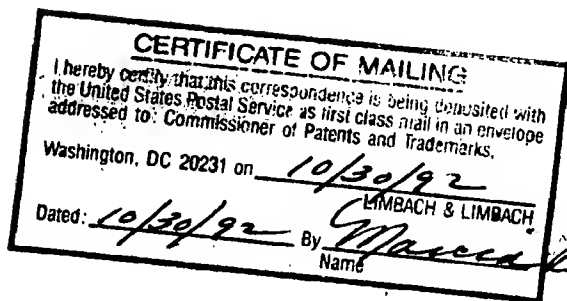
Please direct all correspondence regarding this application to the following:

LIMBACH & LIMBACH
Attn: Albert P. Halluin
2001 Farry Building
San Francisco, CA 94111
Telephone: (415) 433-4150
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Dated: October 26, 1992

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

By: Candace L. Voelker
Name: Candace L. Voelker
Title: Licensing Manager,
Office of Technology
Transfer



UC Case No.: 90-291-2

#4

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declara that:

My reeidanca, post office addressa and citizenship are as stated below next to my nama,

I believe I am the original, first and eole inventor (if only ona name is listed below) or an original, firet and joint invantor (if plural namas are listad below) of the subject matter which is claimed and for which a patent is sought on the invanton entitled

RECOMBINANT PLANT VIRAL NUCLEIC ACIDS

the specification of which (check ona) is attached hereto or X was filed on July 31, 1992 as Application Serial No. 07/923,692 and was amandad on (if applicable).

I hereby state that I hava reviewed and understand the contants of the above-identified specification, including the claims, as amended by any amendant referrad to above.

I acknowledge the duty to disclose all information which is material to patentability as defined in Titla 37, Code of Federal Regulations, Section 1.56.

I hareby claim foraign priority benefite under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identifiad balow any foraign application for patent or inventor's certificate having a filing date before that of tha application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed
Yes No

Numbar Country Day/Month/Year Filed

Numbar Country Day/Month/Year Filed

I hereby claim tha benefit under Title 35, United States Code, §120 of any United Statas application(s) listad balow and, insofar as tha subject matter of aach of the claims of this application is not disclosed in tha prior United States application in the manner provided by tha first paragraph of Titla 35, United States Code, §112, I acknowledge the duty to disclose all information which is material to patantability as dafined in Title 37, Code of Federal Regulations, Section 1.56 which became availabla between the filing data of tha prior application and the national or PCT international filing data of this application:

Application Sar. No. Filing Date Status: Patented, Pending, Abandoned

Application Sar. No. Filing Date Status: Patented, Pending, Abandoned

I hareby declara that all statements made herein of my own knowledge are trua and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false etatements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of tha United States Code and that such willful false statements may jeopardize tha validity of the application or any patent issued thereon.

Full nama of sole or first inventor Jon DOWSON

Inventor's signature J. Dowson

10/5/92
Date

Residence 1175 Lake Boulavard #231, Davis, CA 95616

Citizenship United States Kingdom

Post Office Address 1175 Lake Boulevard #231, Davis, CA 95616

Full nama of second joint inventor, if any, William O. DAWSON

Invantor's signature W. Dawson

Residence 803 Olsen Road, Winter Haven, FL 33884

Citizenship United States

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Service" Label No. RB 928 757 830 US, postage

paid, in an envelope, addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on October 26, 1992

LIMBACH & LIMBACH

Dated: 10/14/92 By W. Dawson
Name

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

RECOMBINANT PLANT VIRAL NUCLEIC ACIDS

the specification of which (check one) ☐ is attached hereto or ☒ was filed on July 31, 1992 as Application Serial No. 07/923,692 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

			Priority Claimed	
			Yes	No
Number	Country	Day/Month/Year Filed	_____	_____
Number	Country	Day/Month/Year Filed	_____	_____

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Jon DONSON

Inventor's signature _____ Date _____

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Full name of second joint inventor, if any William O. DAWSON

Inventor's signature William O. Dawson 10/5/92
Date

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